

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Takeshi NAKAO et al.	International Application No.:
Serial No.: Unknown	PCT/JP2004/008116
Filed: Concurrently herewith	International Filing Date:
For: ONE-PORT SURFACE ACOUSTIC WAVE RESONATOR AND SURFACE ACOUSTIC WAVE FILTER (as amended)	June 10, 2004

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicants herewith submits the attached Form PTO-1449. Reference Nos. 1, 5, and 6 were cited in the attached International Search Report for PCT Application No. PCT/JP2004/008116.

Reference Nos. 2-4 and 7-11 are additional references that Applicants are submitting herewith.

Applicants do not submit herewith a copy of the U.S. references as this is no longer required.

The relevance of Reference No. 7 is discussed on page 1 of the substitute specification filed concurrently herewith.

The relevance of Reference No. 8 is discussed on page 2 of the substitute specification filed concurrently herewith.

The relevance of Reference No. 2 is discussed on page 2 of the substitute specification filed concurrently herewith.

The relevance of Reference No. 11 is discussed on pages 2 and 3 of the substitute specification filed concurrently herewith.

The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants respectfully request that the cited references be made of record in the subject application.

Respectfully submitted,

Date: December 12, 2005


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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet **1** of **2**

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Takeshi NAKAO
Art Unit	
Examiner Name	
Attorney Docket Number	36856.1399

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	2002/0067227	06-2002	Naumenko et al.	
	2	6,556,104	04-2003	Naumenko et al.	
	3	6,501,208	12-2002	Kuroda	
	4	6,674,215	01-2004	Yoshida et al	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number-Kind Code ⁴ (if known)	Publication Date MM -YYYY	Country of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
	5	JP 2000-151355 (English Abstract and machine translation)	05-2000	JAPAN		X
	6	JP 10-065481 (English Abstract and machine translation)	03-1998	JAPAN		X
	7	JP 07-283682 (English Abstract and machine translation)	10-1995	JAPAN		X
	8	JP 09-093072 (English Abstract and machine translation)	04-1997	JAPAN		X
	9	WO 99/04489 (English Abstract)	01-1999	WIPO		X
	10	WO 01/37426 (English Abstract)	05-2001	WIPO		X

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ² See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

IAP9 Rec'd PCT/PTO 12 DEC 2005
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¹ Applicant unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.